

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-010C1

APPLICANT(S): Gillies *et al.*

SERIAL NO.: 10/603,064

FILING DATE: June 24, 2003

GROUP NO.: 1646

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PM	A100	4,732,683	3/22/88	Georgiades <i>et al.</i>			
	A101	4,737,462	4/12/88	Mark <i>et al.</i>			
	A102	5,082,658	1/21/92	Palladino			
	A103	5,091,513	2/25/92	Huston <i>et al.</i>			
	A104	5,399,346	3/21/95	Anderson <i>et al.</i>			
	A105	5,428,130	6/27/95	Capon <i>et al.</i>			
	A106	5,795,779	8/18/98	McCormick			
	A107	5,827,703	10/27/98	Debs <i>et al.</i>			
	A108	6,627,615	9/30/03	Debs <i>et al.</i>			
	A109	2004/0180035	9/16/04	Gillies <i>et al.</i>			
	A110	2004/0180386	9/16/04	Carr <i>et al.</i>			
✓	A111	2004/0203100	10/04/04	Gillies <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
PM	B82	0 428 596 B1	4/17/96	EP					Y
	B83	0 433 827 B1	3/4/98	EP					Y
	B84	WO 92/10755	6/25/92	PCT					Y
	B85	WO 94/25609	11/10/94	PCT					
	B86	WO 98/52976	11/26/98	PCT					
✓	B87	WO 02/074783		PCT					Y

EXAMINER *Prerna Menz*DATE CONSIDERED *5/30/06*

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT		ATTORNEY DOCKET NO.: LEX-010C1 APPLICANT(S): Gillies <i>et al.</i> SERIAL NO.: 10/603,064 FILING DATE: June 24, 2003 GROUP NO.: 1646	
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PM	C219	Anderson <i>et al.</i> , (1994), "Effects of Route and Formulation on Clinical Pharmacokinetics of Interleukin-2," Clin. Pharmacokinet., 27(1):19-31.	
	C220	Barbulescu <i>et al.</i> , (1998), "IL-12 and IL-18 Differentially Regulate the Transcriptional Activity of the Human IFN- γ Promoter in Primary CD4+ T Lymphocytes," <u>J. Immunol.</u> , 160:3642-7.	
	C221	Benacerraf <i>et al.</i> , (1959), "The Clearance of Antigen Antibody Complexes from the Blood by the Reticulo-Endothelial System," <u>J. Immunol.</u> , 82:131-7.	
	C222	Boulianne <i>et al.</i> , (1984), "Production of Functional Chimaeric Mouse/Human Antibody," <u>Nature</u> , 312:643-6.	
	C223	Bubenik <i>et al.</i> , (1995), "Interleukin-2 Gene Therapy of Residual EL-4 Leukaemia Potentiates the Effect of Cyclophosphamide Pretreatment," <u>J. Cancer Res. Clin. Oncol.</u> , 121:39-43.	
	C224	Chan <i>et al.</i> , (1992), "Mechanisms of IFN- γ Induction by Natural Killer Cell Stimulatory Factor (NKSF/IL-12). Role of Transcription and mRNA Stability in the Synergistic Interaction Between NKSF and IL-2," <u>J. Immunol.</u> , 148:92-98.	
	C225	Cohen <i>et al.</i> , (1998), "An Artificial Cell-Cycle Inhibitor Isolated from a Combinatorial Library," <u>Proc. Natl. Acad. Sci. USA</u> , 95:14272-7.	
	C226	Connor <i>et al.</i> , (2004), "Ex vivo Evaluation of Anti-EpCAM Immunocytokine huKS-IL2 in Ovarian Cancer," <u>J. Immunotherapy</u> , 27:211-219.	
	C227	Desai <i>et al.</i> , (1992), "IL-12 Receptor. II. Distribution and Regulation of Receptor Expression," <u>J. Immunol.</u> , 148:3125-32.	
	C228	Farner <i>et al.</i> , (1995), "Distinction Between γ_c C Detection and Function in YT Lymphoid Cells and in the Granulocyte-Macrophage Colony-Stimulating Factor-Responsive Human Myeloid Cell Line, Tf-1," <u>Blood</u> , 86:4568-78.	
	C229	Gurewich <i>et al.</i> , (1988), "Characterization of the Intrinsic Fibrinolytic Properties of Pro-Urokinase Through a Study of Plasmin-Resistant Mutant Forms Produced by Site-Specific Mutagenesis of Lysine," <u>J. Clin. Invest.</u> , 82:1956-1962.	
	C230	Hashimoto <i>et al.</i> , (1999), "Differential Antitumor Effects of Administration of Recombinant IL-18 or Recombinant IL-12 are Mediated Primarily by Fas-Fas Ligand- and Perforin-Induced Tumor Apoptosis, Respectively," <u>J. Immunol.</u> , 163:583-9.	
	C231	Hori <i>et al.</i> , (1987), "Establishment of an Interleukin 2-Dependent Human T Cell Line from a Patient with T Cell Chronic Lymphocytic Leukemia Who is Not Infected with Human T Cell Leukemia/Lymphoma Virus," <u>Blood</u> , 70:1069-72.	
EXAMINER	Perrine Mente		DATE CONSIDERED 5/30/06

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OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PM	C232	Karpusas <i>et al.</i> , (1997), "The Crystal Structure of Human Interferon β at 2.2-A Resolution," <u>Proc. Natl. Acad. Sci. USA</u> , 94:11813-11818.	
	C233	Kelner <i>et al.</i> , (1994), "Lymphotoxin: A Cytokine that Represents a New Class of Chemokine," <u>Science</u> , 266:1395-9.	
	C234	Kirkman <i>et al.</i> , (1989), "Prolongation of Cardiac Allograft Survival in Murine Recipients Treated with a Diphtheria Toxin-Related Interleukin-2 Fusion Protein," <u>Transplantation</u> , 47(2):327-330.	
	C235	Lawn <i>et al.</i> , (1981), "DNA Sequence of a Major Human Leukocyte Interferon Gene," <u>Proc. Natl. Acad. Sci. USA</u> , 78:5435-9.	
	C236	Lode <i>et al.</i> , (1998), "Gene Therapy with a Single Chain Interleukin 12 Fusion Protein Induces T Cell-Dependent Protective Immunity in a Syngeneic Model of Murine Neuroblastoma," <u>Proc. Natl. Acad. Sci. USA</u> , 95:2475-80.	
	C237	Lotze <i>et al.</i> , (1996), "Cytokine Gene Therapy of Cancer Using Interleukin-12: Murine and Clinical Trials," <u>Ann. NY Acad. Sci.</u> , 795:440-54.	
	C238	MacLean <i>et al.</i> , (1996), "Enhancing the Effect of Theratope STn-KLH Cancer Vaccine in Patients with Metastatic Breast Cancer by Pretreatment with Low-Dose Intravenous Cyclophosphamide," <u>J. Immunother.</u> , 19(4):309-316.	
	C239	Maghazachi <i>et al.</i> , (1997), "Interferon-Inducible Protein-10 and Lymphotoxin Induce the Chemotaxis and Mobilization of Intracellular Calcium in Natural Killer Cells through Pertussis Toxin-Sensitive and -Insensitive Heterotrimeric G-Proteins," <u>FASEB J.</u> , 11:765-74.	
	C240	McMahan <i>et al.</i> , (1991), "A Novel IL-1 Receptor, Cloned From B-Cells by Mammalian Expression is Expressed in Many Cell Types," <u>EMBO J.</u> , 10:2821-32.	
	C241	Mehrotra <i>et al.</i> , (1993), "Effects of IL-12 on the Generation of Cytotoxic Activity in Human CD8+ T Lymphocytes," <u>J. Immunol.</u> , 151:2444-52.	
	C242	Miyake <i>et al.</i> , (1988), "Synthesis of Recombinant Human Single-Chain Urokinase-Type Plasminogen Activator Variants Resistant to Plasmin and Thrombin," <u>J. Biochem.</u> , 104:643-647.	
	C243	Mueller <i>et al.</i> , (1990), "Enhancement of Antibody-Dependent Cytotoxicity With A Chimeric Anti-GD2 Antibody," <u>J. Immunology</u> , 144(4):1382-1386	
	C244	Mullins <i>et al.</i> , (1998), "Interleukin-12 Overcomes Paclitaxel-Mediated Suppression of T-Cell Proliferation," <u>Immunopharmacol. Immunotoxicol.</u> , 20(4):473-492.	
	C245	Nastala <i>et al.</i> , (1994), "Recombinant IL-12 Administration Induces Tumor Regression in Association with IFN- γ Production," <u>J. Immunol.</u> , 153:1697-706.	
EXAMINER <i>Prerna Mehta</i>		DATE CONSIDERED <i>5/30/06</i>	

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INFORMATION DISCLOSURE STATEMENT		APPLICANT(S):	Gillies <i>et al.</i>
		SERIAL NO.:	10/603,064
		FILING DATE:	June 24, 2003
		GROUP NO.:	1646
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PM	C246	Nelles <i>et al.</i> , (1987), "Characterization of Recombinant Human Single Chain Urokinase-Type Plasminogen Activator Mutants Produced by Site-Specific Mutagenesis of Lysine 158," <u>J. Biol. Chem.</u> , 262(12):5682-5689.	
	C247	Palucka <i>et al.</i> , (1998), "Dendritic Cells as the Terminal Stage of Monocyte Differentiation," <u>J. Immunol.</u> , 160:4587-95.	
	C248	Perussia <i>et al.</i> , (1992), "Natural Killer (NK) Cell Stimulatory Factor or IL-12 Has Differential Effects on the Proliferation of TCR- $\alpha\beta$ +, TCR- $\gamma\delta$ + T Lymphocytes, and NK Cells," <u>J. Immunol.</u> , 149:3495-502.	
	C249	Radhakrishnan <i>et al.</i> , (1996), "Zinc Mediated Dimer of Human Interferon- α_{2b} Revealed by X-Ray Crystallography," <u>Structure</u> 4(12):1453-63.	
	C250	Robinson <i>et al.</i> , (1998), "Optimizing the Stability of Single-Chain Proteins by Linker Length and Composition Mutagenesis," <u>Proc. Natl. Acad. Sci. USA</u> , 95:5929-34.	
	C251	Runkel <i>et al.</i> , (1998), "Structural and Functional Differences Between Glycosylated and Non-Glycosylated Forms of Human Interferon- β (IFN- β)," <u>Pharmaceutical Res.</u> , 15:641-649.	
	C252	Sharp <i>et al.</i> , (1988), "Codon Usage Patterns in <i>Escherichia coli</i> , <i>Bacillus subtilis</i> , <i>Saccharomyces cerevisiae</i> , <i>Schizosaccharomyces pombe</i> , <i>Drosophila melanogaster</i> and <i>Homo sapiens</i> ; a Review of the Considerable Within-Species Diversity," <u>Nucleic Acids Res.</u> , 16(17):8207-8211.	
	C253	Taniguchi <i>et al.</i> , (1980), "Expression of the Human Fibroblast Interferon Gene in <i>Escherichia Coli</i> ," <u>Proc. Natl. Acad. Sci. USA</u> , 77:5230-5233.	
	C254	Turner, (1999), "Generation of Large Numbers of Fully Mature and Stable Dendritic Cells from Leukapheresis Products for Clinical Application," <u>J. Immunol. Methods</u> , 223:1-15.	
	C255	Tiruppathi <i>et al.</i> , (1996), "Isolation and Characterization of a Cell Surface Albumin-Binding Protein from Vascular Endothelial Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 93:250-4.	
	C256	Voest <i>et al.</i> , (1995), "Inhibition of Angiogenesis in Vivo by Interleukin 12," <u>J. Natl. Canc. Inst.</u> , 87:581-6.	
	C257	Weitkamp <i>et al.</i> , (1973), "Additional Data on the Population Distribution of Human Serum Albumin Genes; Three New Variants," <u>Ann. Hum. Genet.</u> , 37:219-26.	
	C258	Wysocka <i>et al.</i> , (1995), "Interleukin-12 is Required for Interferon- γ Production and Lethality in Lipopolysaccharide-Induced Shock in Mice," <u>Eur. J. Immunol.</u> , 25:672-6.	
↓	C259	Yeh <i>et al.</i> , (1992), "Design of Yeast-Secreted Albumin Derivatives for Human Therapy: Biological and Antiviral Properties of a Serum Albumin-CD4 Genetic Conjugate," <u>Proc. Natl. Acad. Sci. USA</u> , 89:1904-8.	
EXAMINER		Premia Mente	DATE CONSIDERED 5/30/06

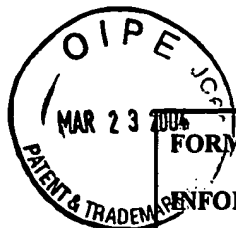


SHEET 1 OF 3

FORM PTO - 1449				ATTORNEY DOCKET NO.: LEX-010C1					
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Gillies <i>et al.</i>					
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U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
PM	A83	5,601,819	2/11/97	Wong <i>et al.</i>					
	A84	5,891,680	4/6/99	Lieschke <i>et al.</i>					
	A85	5,994,104	11/30/99	Anderson <i>et al.</i>					
	A86	6,429,199	8/6/02	Krieg <i>et al.</i>					
	A87	6,500,641	12/31/02	Chen <i>et al.</i>					
	A88	6,551,592	4/22/03	Lindhofer <i>et al.</i>					
	A89	6,646,113	11/11/03	Dreyfuss <i>et al.</i>					
	A90	2002/0037558	3/28/02	Lo <i>et al.</i>					
	A91	2002/0146388	10/10/02	Gillies					
	A92	2003/0139365	7/24/03	Lo <i>et al.</i>					
	A93	2003/0139575	7/24/03	Gillies					
	A94	2004/0013640	1/22/04	Zardi <i>et al.</i>					
	A95	2004/0033210	2/19/04	Gillies					
	A96	2004/0043457	3/4/04	Schumacher <i>et al.</i>					
	A97	2004/0053366	3/18/04	Lo <i>et al.</i>					
	A98	2004/0072299	4/15/04	Gillies <i>et al.</i>					
	A99	2004/0082039	4/29/04	Gillies <i>et al.</i>					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
PM	B81	WO 93/20185	10/14/93	PCT					
EXAMINER <i>Penna Mung</i>					DATE CONSIDERED <i>5/30/06</i>				

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		SERIAL NO.: 10/603,064
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OTHER ART, JOURNAL ARTICLES, ETC.		
pm	C196	Chapman et al., (1994), "Mapping Effector Functions of a Monoclonal Antibody to GD3 by Characterization of a Mouse-Human Chimeric Antibody," <u>Cancer Immuno. Immunother.</u> , 39:198-204.
	C197	Conner et al., (2004), "Ex vivo Evaluation of Anti-EpCAM Immunocytokine huKS-IL2 in Ovarian Cancer," <u>J. Immunotherapy</u> , 27:211-219.
	C198	de la Salle et al., (1996), "FcγR on Human Dendritic Cells," in <u>Human IgG Receptors</u> , pp. 39-55, van de Winkel et al. (eds.), R.G. Landes Co.
	C199	Dorai et al., (1991), "Aglycosylated Chimeric Mouse/Human IgG1 Antibody Retains Some Effector Function," <u>Hybridoma</u> , 10(2):211-217.
	C200	Dorai et al., (1992), "Role of Inter-Heavy and Light Chain Disulfide Bonds in the Effector Functions of Human IgG1," <u>Molecular Immunology</u> , 29(12):1487-1491.
	C201	Elliott et al., (1996), "Fine-Structure Epitope Mapping of Antierythropoietin Monoclonal Antibodies Reveals a Model of Recombinant Human Erythropoietin Structure," <u>Blood</u> , 87(7):2702-2713.
	C202	Gillies et al., (1991), "Targeting Human Cytotoxic T Lymphocytes to Kill Heterologous Epidermal Growth Factor Receptor-Bearing Tumor Cells: Tumor-Infiltrating Lymphocyte/Hormone Receptor/Recombinant Antibody," <u>J. Immunology</u> , 146(3):1067-1071.
	C203	Handgretinger et al., (1995), "A Phase I Study of Human/Mouse Chimeric Anti-ganglioside GD2 Antibody ch14.18 in Patients with Neuroblastoma," <u>European J. Cancer</u> , 31A(2):261-267.
	C204	Hurn et al., (1980), "Production of Reagent Antibodies," <u>Methods in Enzymology</u> , 70: 104-142.
	C205	Isenman et al., (1975), "The Structure and Function of Immunoglobulin Domains: II. The Importance of Interchain Disulfide Bonds and the Possible Role of Molecular Flexibility in the Interaction between Immunoglobulin G and Complement," <u>J. Immunology</u> , 114(6):1726-1729.
	C206	Ko et al., (2004), "Safety, Pharmacokinetics, and Biological Pharmacodynamics of the Immunocytokine EMD 273066 (huKS-IL2)," <u>J. Immunotherapy</u> , 27:232-239.
	C207	Lo et al., (1992), "Expression and Secretion of an Assembled Tetrameric CH2-deleted Antibody in <i>E. Coli.</i> ," <u>Hum. Antibod. Hybridomas</u> , 3:123-128.
	C208	Maecker et al., (1997), "DNA Vaccination with Cytokine Fusion Constructs Biases the Immune Response to Ovalbumin," <u>Vaccine</u> , 15(15):1687-1696.
✓	C209	Mueller et al., (1990), "Enhancement of Antibody-Dependent Cytotoxicity With A Chimeric Anti-GD2 Antibody," <u>J. Immunology</u> , 144(4):1382-1386.
EXAMINER <i>Premna Mung</i>		DATE CONSIDERED <i>5/30/08</i>

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		SERIAL NO.: 10/603,064	
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OTHER ART, JOURNAL ARTICLES, ETC.			
PM	C210	Mueller et al., (1990), "Serum Half-Life and Tumor Localization of a Chimeric Antibody Deleted of the CH2 Domain and Directed Against the Disialoganglioside GD2," <u>Proc. Natl. Acad. Sci. USA</u> , 87:5702-5705.	
	C211	Naramura et al., (1993), "Therapeutic Potential of Chimeric and Murine Anti-(Epidermal Growth Factor Receptor) Antibodies in a Metastasis Model for Human Melanoma," <u>Cancer Immuno. Immunother.</u> , 37:343-349.	
	C212	Pertl et al., (2003), "Immunotherapy with a Posttranscriptionally Modified DNA Vaccine Induces Complete Protection Against Metastatic Neuroblastoma," <u>Blood</u> , 101(2):649-654.	
	C213	Reisfeld et al., (1994), "Potential of Genetically Engineered Anti-Ganglioside GD2 Antibodies for Cancer Immunotherapy," <u>Prog. Brain Res.</u> , 101:201-212	
	C214	Saleh et al., (1992), "Phase I Trial of the Chimeric Anti-GD2 Monoclonal Antibody ch14.18 in Patients With Malignant Melanoma," <u>Hum. Antib. Hybridomas</u> , 3:19-24.	
	C215	Sallusto et al., (1994), "Efficient Presentation of Soluble Antigen by Cultured Human Dendritic Cells Is Maintained by Granulocyte/Macrophage Colony-stimulating Factor Plus Interleukin 4 and Downregulated by Tumor Necrosis Factor α ," <u>J. Exp. Med.</u> , 179:1109-1118.	
	C216	Schlom (1991), "Monoclonal Antibodies: They're More and Less Than You Think," in <u>Molecular Foundations of Oncology</u> , pp. 95-133.	
	C217	Weber et al., (2001), "Phase I Trial of huKS-IL2 Immunocytokine in Patients with Prostate Carcinoma: Clinical, PK, and Biological PD Results (Abstract)," <u>American Society of Clinical Oncology Program/Proceedings</u> , 20(Part 1):259a.	
	C218	Wen et al., (1994), "Erythropoietin Structure-Function Relationships: Identification of Functionally Important Domains," <u>J. Biological Chemistry</u> , 269(36):22839-22846.	
EXAMINER	<i>Premna Muntz</i>		DATE CONSIDERED <i>5/30/06</i>



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INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.: LEX-010C1

APPLICANT: Gillies et al.

SERIAL NO.: 10/603,064

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GROUP: ~~1653~~ 1646

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PM	AA	5,314,995	5-24-94	Fell, Jr. et al.	530	351	1-22-90
	AB	5,114,711	5-19-92	Bell et al.	424	85.1	7-13-89
	AC	5,073,627	12-17-91	Curtis et al.	530	351	8-14-90
	AD	4,946,778	8-7-90	Ladner et al.	435	69.6	
	AE	4,676,980	6-30-87	Segal et al.	424	85	9-23-85
	AF	4,469,797	9-4-84	Albarella	436	536	9-23-82
	AG	4,196,265	4-1-80	Koprowski et al.	435	2	8-11-78
	AH	5,650,150	7-22-97	Gillies	424	134.1	7-27-94
	AI	5,541,087	6-30-96	Lo et al.	435	697	9-14-94
	AJ	5,726,044	3-10-98	Lo et al.	435	69.7	9-14-95
	AK	5,843,423	12-1-98	Lyman et al.	424	85.1	12-18-97
	AL	5,858,347	1-12-99	Bauer et al.	424	85.2	6-6-95
	AM	5,908,626	6-1-99	Chang et al.	424	134.1	12-19-97
	AN	5,199,942	4-6-93	Gillis	604	4	9-26-91
	AO	07/348,237		Rosenblum et al.			5-5-89
	A1	4,816,567	3/28/89	Cabilly et al.	530	387	
	A2	5,116,964	5/26/92	Capon et al.	536	27	
	A3	5,225,538	7/6/93	Capon et al.	530	387.3	
	A4	5,225,539	7/6/93	Winter	530	387.3	
	A5	5,258,498	11/2/93	Huston et al..	530	350.0	
	A6	5,349,053	9/20/94	Landolfi	530	351	
	A7	5,514,582	5/7/96	Capon et al.	435	252.3	
	A8	5,543,297	8/6/96	Cromlish, et al.	435	25	
✓	A9	5,552,524	09/03/96	Basinski et al.			

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DATE CONSIDERED

5/30/06

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U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PM	A10	5,585,089	12/17/96	Queen et al.	424	133.1	
	A11	5,609,846	3/11/97	Goldenberg	424	1.41	
	A12	5,639,725	6/17/97	O'Reilly et al.	514	12	
	A13	5,650,492	7/22/97	Gately, et al.	530	351	
	A14	5,667,776	9/16/97	Zimmerman et al.	424	238	
	A15	5,691,309	11/25/97	Basinski et al.			
	A16	5,719,266	02/17/98	DiMarchi et al.			
	A17	5,723,125	3/3/98	Chang et al.	424	134.1	
	A18	5,726,044	3/10/98	Lo et al.			
	A19	5,728,552	3/17/98	Fujisawa et al.	435	69.5	
	A20	5,733,876	3/31/98	O'Reilly et al.	514	12	
	A21	5,756,461	05/26/98	Stephens			
	A22	5,759,551	6/2/98	Ladd et al.	424	198.1	
	A23	5,770,195	6/23/98	Hudziak et al.	424	130.1	
	A24	5,800,810	9/1/98	Doyle et al.	424	85.2	
	A25	5,827,516	10/27/98	Urban et al.	424	93.21	
	A26	5,837,682	11/17/98	Folkman et al.	514	12	
	A27	5,854,205	12/29/98	O'Reilly et al.	514	2	
	A28	5,885,795	3/23/99	O'Reilly et al.	435	69.1	
	A29	5,886,178	3/23/99	Allen et al.	544	238	
	A30	5,994,126	11/30/99	Steinman et al.	435	325	
	A31	6,080,409	6/27/00	Laus et al.	424	192.1	
	A32	6,086,875	7/11/00	Blumberg et al.	424	134.1	
↓	A33	6,169,070	1/2/01	Chen et al.			
EXAMINER <i>Reema Menz</i>				DATE CONSIDERED <i>5/30/06</i>			

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.: LEX-010C1 APPLICANT: Gillies et al. SERIAL NO.: 10/603,064 FILING DATE: June 24, 2003 GROUP: 1653 1646			
U.S. PATENT DOCUMENTS							
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
PM	A34	6,171,588	1/9/01	Carron et al.			
	A35	6,100,387	8/8/00	Herrmann et al.			
	A36	5,359,035	10/25/94	Habermann			
	A37	5,645,835	7/8/97	Fell, Jr. et al.			
	A38	5,807,715	9/15/98	Morrison et al.			
	A39	5,922,685	7/13/99	Rakhmievich et al.			
	A40	6,348,192	2/19/02	Chan et al.			
	A41	4,703,008	10/27/87	Lin			
	A42	5,019,368	5/28/91	Epstein et al.			
	A43	5,441,868	8/15/95	Lin			
	A44	5,457,038	10/10/95	Trinchieri et al.			
	A45	5,538,866	7/23/96	Israeli et al.			
	A46	5,547,933	8/20/96	Lin			
	A47	5,618,698	4/8/97	Lin			
	A48	5,624,821	4/29/97	Winter et al.			
	A49	5,679,543	10/21/97	Lawlis			
	A50	5,688,679	11/18/97	Powell			
	A51	5,709,859	1/20/98	Aruffo et al.			
	A52	5,756,349	5/26/98	Lin			
	A53	5,856,298	1/5/99	Strickland			
	A54	5,888,772	3/30/99	Okasinski et al.			
	A55	5,955,422	9/21/99	Lin			
	A56	6,231,536	5/15/01	Lentz			
✓	A57	6,277,375	8/21/01	Ward			
EXAMINER <i>Premna Menz</i>				DATE CONSIDERED <i>5/30/06</i>			

FORM PTO - 1449				ATTY DOCKET NO.: LEX-010C1			
INFORMATION DISCLOSURE STATEMENT				APPLICANT: Gillies et al.			
				SERIAL NO.: 10/603,064			
				FILING DATE: June 24, 2003			
				GROUP: 1655 1646			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
PM	A58	6,284,536	9/4/01	Morrison et al.			
	A59	6,335,176	1/1/02	Inglese et al.			
	A60	6,340,742	1/22/02	Burg et al.			
	A61	6,406,689	6/18/02	Falkenberg et al.			
	A62	6,444,792	9/3/02	Gray et al.			
	A63	6,475,717	11/5/02	Enssle et al.			
	A64	6,485,726	11/26/02	Blumberg et al.			
	A65	6,506,405	1/14/03	Desai et al.			
	A66	6,583,272	6/24/03	Bailon			
	A67	6,586,398	7/1/03	Kinstler et al.			
	A68	6,617,135	9/9/03	Gillies et al.			
	A69	2001/0053539	12/20/01	Lauffer et al.			
	A70	2002/0081664	6/27/02	Lo et al.			
	A71	2002/0142374	10/3/02	Gallo et al.			
	A72	2002/0147311	10/10/02	Gillies et al.			
	A73	2002/0192222	12/19/02	Blumberg et al.			
	A74	2002/0193570	12/19/02	Gillies et al.			
	A75	2003/0003529	1/2/03	Bayer			
	A76	2003/0044423	3/6/03	Gillies et al.			
	A77	2003/0049227	3/13/03	Gillies et al.			
	A78	2003/0105294	6/5/03	Gillies et al.			
	A79	2003/0012789	1/6/03	Blumberg et al.			
	A80	2003/0157054	8/21/03	Gillies et al.			
✓	A81	2003/0166163	9/4/03	Gillies			
EXAMINER <i>Premna Murtz</i>				DATE CONSIDERED <i>5/30/06</i>			

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.: LEX-010C1 APPLICANT: Gillies et al. SERIAL NO.: 10/603,064 FILING DATE: June 24, 2003 GROUP: 1653 1646				
U.S. PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
PM	A82	2003/0166877	9/4/03	Gillies et al.	 	 		
FOREIGN PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
PM	BA	W091/14438	10-3-91	PCT	 	3-20-91	 	
 	BB	W091/13166	09-05-91	PCT	 	1-30-91	 	
 	BC	0 439 095 A2	7-31-91	EPO	 	1-21-91	 	
 	BD	0 396 387 A2	11-7-90	EPO	 	5-1-90	 	
 	BE	0 375 562	6-27-90	EPO	 	 	 	
 	BF	W0 90/03801	4-19-90	PCT	 	10-11-89	 	
 	BG	0 314 317 A1	5-3-89	EPO	 	10-3-88	 	
 	BH	W089/02922	4-6-89	PCT	 	10-3-88	 	
 	BI	0 308 936 A2	3-29-89	EPO	 	9-22-88	 	
 	BJ	W088/09344	12-1-88	PCT	 	5-19-88	 	
 	BK	0 294 703 A2	12-14-88	EPO	 	6-1-88	 	
 	BL	W088/00052	1-14-88	PCT	 	7-6-87	 	
 	BM	W086/01533	3-13-86	PCT	 	9-3-85	 	
 	BN	0 158 198 A1	10-16-85	EPO	 	3-23-85	 	
 	BO	W093/10229	5-27-93	PCT	 	11-19-92	 	
 	BP	W097/24137	7-10-97	PCT	 	12-13-96	 	
 	BQ	W095/28427	10-26-95	PCT	 	4-15-94	 	
 	BR	0 601 043 B1	11-25-98	EPO	 	8-24-92	 	
 	BS	0 326 120 B1	4-15-98	EPO	 	1-25-89	 	
 	BT	37 12985 A1	11-3-88	DE	 	4-16-87	 	
↓	BU	93100115.3	7-14-93	CN	 	2-6-93	 	
EXAMINER <i>Penna Mentz</i>				DATE CONSIDERED <i>5/30/06</i>				

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.: LEX-010C1

APPLICANT: Gillies et al.

SERIAL NO.: 10/603,064

FILING DATE: June 24, 2003

GROUP: ~~1653~~ 1646

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
PM	BV	87-56676	11-4-88	JP			3-13-87		
	BW	87-56677	11-4-88	JP			3-13-87		
	BX	WO00/11033	3-2-00	PCT			8-25-99		
	BY	WO00/40615	7-13-00	PCT			1-7-00		
	BZ	21725/88	3-23-89	AU			9-1-88		
	BBA	211769	2-25-87	EPO			8-7-86		
	BBB	319012	6-7-89	EPO			12-2-88		
	BBC	256714	2-24-88	EPO			7-30-87		
	BBD	350230	1-10-90	EPO			7-3-89		
	BBE	WO 88/09344	12-1-88	WO			5-19-88		
	BBF	WO 96/04388	02-15-96	WO			7-28-95		
	B1	WO 89/02922	4/6/89	PCT			10/3/88		Y
	B2	WO 89/09620	10/19/89	PCT				English Abstract	N
	B3	WO 91/00360	1/10/91	PCT			006		Y
	B4	WO 91/08298	6/13/91	PCT			006		Y
	B5	WO 92/02240	2/20/92	PCT					Y
	B6	WO 92/08495	5/29/92	PCT					Y
	B7	WO 92/08801	5/29/92	PCT			006		Y
	B8	WO 92/16562	10/1/92	PCT					Y
	B9	WO 93/03157	2/18/93	PCT			006		Y
	B10	WO 95/05468	2/23/95	PCT			002		Y
	B11	WO 95/21258	8/10/95	PCT			006		Y
	B12	WO 96/05309	2/22/96	PCT			008		Y
	B13	WO 96/08570	3/21/96	PCT			006		Y

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FORM PTO - 1449					ATTY DOCKET NO.: LEX-010C1				
INFORMATION DISCLOSURE STATEMENT					APPLICANT: Gillies et al.				
					SERIAL NO.: 10/603,064				
					FILING DATE: June 24, 2003				
					GROUP: 1653 1646				
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
PM	B14	WO 96/18412	6/20/96	PCT			002		Y
	B15	WO 96/31526	10/10/96	PCT			008		Y
	B16	WO 97/00317	9/18/97	PCT			003		Y
	B17	WO 97/00319	1/3/97	PCT			002		Y
	B18	WO 97/15666	5/1/97	PCT			004		Y
	B19	WO 97/20062	6/5/97	PCT			003		Y
	B20	WO 97/24440	7/10/97	PCT			008		Y
	B21	WO 97/26335	7/24/97	PCT			008		Y
	B22	WO 97/30089	8/21/97	PCT					Y
	B23	WO 97/33617	9/18/97	PCT			002		Y
	B24	WO 97/33619	1/3/97	PCT			003		Y
	B25	WO 97/34631	9/25/97	PCT			002		Y
	B26	WO 98/00127	1/8/98	PCT					Y
	B27	WO 98/06752	2/19/98	PCT			008		Y
	B28	WO 98/28427	7/2/98	PCT			008		Y
	B29	WO 98/30706	7/16/98	PCT			007		Y
	B30	WO 98/46257	10/98	PCT			008		Y
	B31	WO 99/43713	9/2/99	PCT					Y
	B32	WO 99/62944	12/09/99	PCT					Y
	B33	EP 0 294703A2		EP					Y
	B34	EP 0 314317 A1		EP					Y
	B35	EP 0 439 095 A2		EP					Y
	B36	EP 0 511 747	11/4/92	EP					Y
	B37	EP 0 706 799 A3	4/17/96	EP					Y
	B38	0 790 309 A1	8/20/97	EPO			002		Y
EXAMINER <i>Penna Mung</i>					DATE CONSIDERED <i>5/30/00</i>				

FORM PTO - 1449					ATTY DOCKET NO.: LEX-010C1				
INFORMATION DISCLOSURE STATEMENT					APPLICANT: Gillies et al.				
					SERIAL NO.: 10/603,064				
					FILING DATE: June 24, 2003				
					GROUP: 1653 1646				
FOREIGN PATENT DOCUMENTS									
EXAM. INT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLIS H LANG Y/N
PM	B39	GB 2292382 A	2/21/96	GB					Y
	B40	WO 91/04329A1	04/04/91	PCT			08/01/90		Y
	B41	WO 00/69913A1	11/23/02	PCT			05/19/00		Y
	B42	WO 98/59244	12/30/98	PCT				N	Y
	B43	WO 99/02709	01/21/99	PCT				N	Y
	B44	WO 99/03887	01/28/99	PCT				N	Y
	B45	WO 00/34317	06/15/00	PCT				N	Y
	B46	WO 99/52562	10/21/99	PCT					Y
	B47	WO 99/29732	6/17/99	PCT					Y
	B48	WO 01/07081 A1	2/1/01	PCT					Y
	B49	WO 99/53958	10/28/99	PCT					Y
	B50	93100115.3	7/14/93	CN				English abstract of BU	Y
	B51	37 12985	11/3/88	DE				English abstract of BT A36 is English counter -part.	Y
	B52	63-267278	11/4/88	JP				English abstract of BW	Y
	B53	63-267296	11/4/88	JP				English abstract of BV	Y
	B54	0 237 019	9/16/87	EP				English counterpart of BV	Y
	B55	0 318 554 B1	4/5/95	EP					Y
	B56	WO 97/24440	7/10/97	PCT					Y
	B57	WO 99/60128	11/25/99	PCT					Y
	B58	0 640 619 A1	3/1/95	EP					Y
✓	B59	0 668 353 A1	8/23/95	EP					Y
EXAMINER <i>Prema Mung</i>					DATE CONSIDERED <i>5/30/06</i>				

FORM PTO - 1449					ATTY DOCKET NO.: LEX-010C1				
INFORMATION DISCLOSURE STATEMENT					APPLICANT: Gillies et al.				
					SERIAL NO.: 10/603,064				
					FILING DATE: June 24, 2003				
					GROUP: 1653 1646				
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILIN G DATE	ABSTRACT ONLY	ENGLIS H LANG Y/N
PM	B60	1 088 888 A1	4/4/01	EP					Y
	B61	WO 94/24160	10/27/94	PCT					Y
	B62	WO 94/25055	11/10/94	PCT					Y
	B63	WO 95/31483	11/23/95	PCT					Y
	B64	WO 97/43316	11/20/97	PCT					Y
	B65	WO 99/66054	12/23/99	PCT					Y
	B66	WO 00/24893	5/4/00	PCT					
	B67	WO 00/68376	11/16/00	PCT					Y
	B68	WO 00/78334	12/28/00	PCT					Y
	B69	WO 01/10912	2/15/01	PCT					Y
	B70	WO 01/36489	5/25/01	PCT					Y
	B71	WO 01/58957	8/16/01	PCT					
	B72	WO 02/02143	1/10/02	PCT					
	B73	WO 02/066514	8/29/02	PCT					Y
	B74	WO 02/072605	9/19/02	PCT					
	B75	WO 02/079232	10/10/02	PCT					
	B76	WO 02/079415	10/10/02	PCT					
	B77	WO 02/090566	11/14/02	PCT					
	B78	WO 03/015697	2/27/03	PCT					Y
	B79	WO 03/048334	6/12/03	PCT					
✓	B80	WO 03/077834	9/25/03	PCT					Y
EXAMINER <i>Prerna Menz</i>					DATE CONSIDERED <i>5/30/06</i>				

FORM PTO - 1449		ATTY DOCKET NO.: LEX-010C1	
INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies et al.	
		SERIAL NO.: 10/603,064	
		FILING DATE: June 24, 2003	
		GROUP: 1653 1646	
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
pm	CA	Harris et al., "Therapeutic Antibodies - the Coming of Age" <u>Tibtech</u> , 11:42-44 (Feb. 1993).	
	CB	Hoogenboom et al., <u>Biochim. and Biophys. Acta</u> , 1096:345-354 (1991) (Abstract).	
	CC	Gillies et al., "Antigen binding and biological activities of engineered mutant chimeric antibodies with human tumor specificities", <u>Hum. Antibod. Hybridomas</u> , 1 (No. 1):47-54 (1990).	
	CD	Shin et al., "Expression and characterization of an antibody binding specificity joined to insulin-like growth factor 1: Potential applications for cellular targeting.", <u>Proc. Natl. Acad. Sci. USA</u> , 87:5322-5326 (1990).	
	CE	Capon et al., "Designing CD4 immunoadhesins for AIDS therapy", <u>Nature</u> , 337:525-530 (1989).	
	CF	Gillies et al., "Expression of Human Anti-Tetanus toxoid antibody in Transfected Murine Myeloma Cells.", <u>Bio/Technology</u> , 7:799-804 (1989).	
	CG	Gillies et al., "High-level expression of chimeric antibodies using adapted cDNA variable region cassettes", <u>J. Immuno. Methods</u> , 125:191-202 (1989).	
	CH	Herrmann et al., "Hematopoietic Responses With Advanced Malignancy Treated With Recombinant Human Granulocyte-Macrophage Colony-Stimulating Factor.", <u>J. Clin. Oncol.</u> , 7(2):159-167 (1989).	
	CI	Pastan et al., "Pseudomonas Exotoxin: Chimeric Toxins", <u>J. Biol. Chem.</u> , 264 (No. 26):15157-15160 (1989).	
	CJ	Bacha et al., "Interleukin 2 Receptor-Targeted Cytotoxicity Interleukin 2 Receptor-mediated Action of a Diphtheria Toxin-related Interleukin 2 Fusion Protein", <u>J. Experimental Medicine</u> , 167:612-622 (1988).	
	CK	Beutler et al., "Tumor Necrosis, Cachexia, Shock, and Inflammation: A Common Mediator", <u>Ann. Rev. Biochem.</u> , 57:505-518 (1988).	
	CL	Chaudhary et al., "Selective killing of HIV-infected cells by recombinant human CD4-Pseudomonas exotoxin hybrid protein", <u>Nature</u> , 335:369-372 (1988).	
	CM	Liu et al., "Hormone Conjugated with Antibody to CD3 Mediates Cytotoxic T Cell Lysis of Human Melanoma Cells", <u>Science</u> , 239:395-398 (1988).	
	CN	Paul et al., (1988), "Lymphotoxin," <u>Ann. Rev. Immunol.</u> , 6:407-438.	
	CO	Till et al., "HIV-Infected Cells are Killed by rCD4-Ricin A Chain", <u>Science</u> , 242:1166-1168 (1988).	
	CP	Williams et al., "Diphtheria toxin receptor binding domain substitution with interleukin-2: genetic construction and properties of a diphtheria toxin-related interleukin-2 fusion protein", <u>Protein Engineering</u> , 1 (No. 6):493-498 (1987).	
✓	CQ	Goeddel et al., "Tumor Necrosis Factors; Gene Structure and Biological Activities", pp. 597-609 (1986).	
EXAMINER		DATE CONSIDERED	
Prima Mente		5/30/06	

FORM PTO - 1449		ATTY DOCKET NO.: LEX-010C1	
INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies et al.	
		SERIAL NO.: 10/603,064	
		FILING DATE: June 24, 2003	
		GROUP: 1653 1646	
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PM	CR	Jung et al., "Activation of human peripheral blood mononuclear cells by anti-T3: Killing of tumor target cells coated with anti-target-anti-T3 conjugates", <u>Proc. Natl. Acad. Sci. USA</u> , 83:4479-4483 (1986).	
	CS	Murphy et al., "Genetic construction, expression, and melanoma-selective cytotoxicity of a diphtheria toxin-related α -melanocyte-stimulating hormone fusion protein", <u>Proc. Natl. Acad. Sci. USA</u> , 83:8258-8262 (1986).	
	CT	Perez et al., "Specific Targeting of Human Peripheral Blood T Cells by Heteroaggregates Containing Anti-T3 Crosslinked to Anti-Target cell antibodies", <u>J. Experimental Medicine</u> , 163:166-178 (1986).	
	CU	Santon et al., "Effects of Epidermal Growth Factor Receptor Concentration on Tumorigenicity of A431 Cells in Nude Mice", <u>Cancer Research</u> , 46:4701-4705 (1986).	
	CV	Shen et al., "Heteroantibody-Mediated Cytotoxicity: Antibody to the High Affinity Fc Receptor for IgG mediates cytotoxicity by Human Monocytes that is enhanced by interferon- λ and is not blocked by human IgG", <u>J. Immunology</u> 137 (No. 11):3378-3382 (1986).	
	CW	Henkart, "Mechanism of Lymphocyte-Mediated Cytotoxicity", <u>Ann. Rev. Immunol.</u> , 3:31-58 (1985).	
	CX	Liu et al., "Heteroantibody Duplexes Target Cells for Lysis by Cytotoxic T Lymphocytes", <u>Proc. Natl. Acad. Sci. USA</u> , 82:8648-8652 (1985).	
	CY	Nedwin et al., "Human Lymphotoxin and Tumor Necrosis Factor Genes: Structure, Homology and Chromosomal Localization", <u>Nucleic Acids Research</u> , 13 (No. 17):6361-6373 (1985).	
	CZ	Gasson et al., "Purified Human Granulocyte Macrophage Colony-Stimulating Factor: Direct Action on Neutrophils", <u>Science</u> , 226:1339-1339-1342 (1984).	
	CCA	Karpovsky et al., "Production of Target-Specific Effector Cells using Hetero-Cross Linked Aggregate Containing Anti-Target Cell and AntiFc γ Receptor Antibodies", <u>J. Experimental Medicine</u> , 160:1686-1701 (1984).	
	CCB	Kranz et al., "Attachment of an anti-receptor antibody to non-target cells renders them susceptible to lysis by a clone of cytotoxic T lymphocytes", <u>Proc. Natl. Acad. Sci. USA</u> , 81:7922-7926 (1984).	
	CCC	Neuberger, et al., "Recombinant Antibodies Possessing Novel Effector Functions.", <u>Nature</u> , 312:604-608 (1984).	
	CCD	Taniguchi et al. (1983) <u>Macmillan Journals Ltd.</u> "Structure and expression of a cloned cDNA for human interleukin-2"	
	CCE	Williams et al., "Diphtheria Toxin Receptor Binding Domain Substitution with Interleukin-2 (Abstract).	
	CCF	Burgess et al., <u>J. of Cell Biol.</u> , 111:2129-2138.	
	CCG	Lazar et al., <u>Molecular and Cellular Biology</u> , 8:1247-1252.	
EXAMINER		DATE CONSIDERED 5/20/06	

FORM PTO - 1449		ATTY DOCKET NO.: LEX-010C1
INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies et al.
		SERIAL NO.: 10/603,064
		FILING DATE: June 24, 2003
		GROUP: 1653 1646
OTHER ART, JOURNAL ARTICLES, ETC.		
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
PM	CCH	Tao et al., <u>J. of Immunology</u> , 143:2595-2601.
	CCI	Grimaldi et al., "The t(5;14) Chromosomal Translocation in a Case of Acute Lymphocytic Leukemia Joins the Interleukin-3 Gene to the Immunoglobulin Heavy Chain Gene," <u>Blood</u> , 73:2081-2805 (1989).
	CCJ	Chang et al., <u>Seminars in Surgical Oncology</u> , 5:285-90 (1989).
	CCK	Rosenberg, <u>Immunology Today</u> , 9:58-62 (1988).
	CCL	Schnee et al., <u>Proc. Natl. Acad. Sci. USA</u> , 84:6904-6908 (1987).
	CCM	Chaudhary et al., <u>Nature</u> , 339:394-397 (1989).
	CCN	Williams et al., <u>Gene</u> , 43:319-324 (1986).
	CCO	Murphy, "Immunotoxins", <u>Kluwer Academic Publishers</u> , 123-140 (1988).
	CCP	Bjorn et al., <u>Cancer Research</u> , 45:1214-1221 (1985).
	CCQ	Till et al., <u>Cancer Research</u> , 48:1119-1123 (1988).
	CCR	Fell et al., <u>The J. of Immunology</u> , 146:2446-2452 (1991).
	CCS	Hellstrom et al., <u>Proc. Natl. Acad. Sci USA</u> , 83:7059-7063 (1986).
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		GROUP: 1653 1646
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies et al.	
		SERIAL NO.: 10/603,064	
		FILING DATE: June 24, 2003 GROUP: 1645 1655	
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		SERIAL NO.: 10/603,064	
		FILING DATE: June 24, 2003 GROUP: ¹⁶⁴⁶ 1653	
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		APPLICANT: Gillies et al.	
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		GROUP: 1653 1646	
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EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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